

SERIAL NUMBER	PLATE DATE	PERMIT HANDED APPLICANT	ATTY DOCKET NO.
			EDWARD KIRK

DATE MAILED:

## NOTICE OF INSUFFICIENT FILING FEES

APPLICANT IS GIVEN 30 DAYS FROM THE DATE OF MAILING OF THIS NOTICE WITHIN WHICH TO SUBMIT THE BALANCE DUE. Extension of this 30 day period under 37 CFR 1.136(a) will not be permitted. Failure to respond within this period will result in the application becoming abandoned. 35 U.S.C. 133.

The filing fees submitted in connection with this application are insufficient. See the attached Patent Application-Fee Determination Record (Form PTO-875). The balance due for additional claims and/or multiple dependent claims is summarized below:

A. Filing Fees due upon filing the application

Total Piling Fees Due = \$

Less Filing Fees Submitted - \$1.00

**BALANCE DUE** = \$

B. Fees due in connection with the amendment filed on

Total Fees Due = \$

Less Fees Submitted - \$ ( )

BALANCE DUE = \$

**ATTACHMENT: FORM NO. 4**

Clerk of Group

**APPLICANT: PLEASE COMPLETE THIS PORTION AND RETURN THIS NOTICE WITH PAYMENT.**

Fee submitted \$

### Signature

**CERTIFICATE OF MAILING**

I hereby certify that this paper and the enclosed addressed to me being deposited with the U.S. POSTAL SERVICE as first class mail in an envelope addressed to  
Commissioner of Patents and Trademarks, Washington, D.C. 20231, on (Date) \_\_\_\_\_.

**Print Name:** \_\_\_\_\_

Signature: \_\_\_\_\_

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**PATENT APPLICATION FEE DETERMINATION RECORD**

Effective October 1, 2001

Application or Docket Number

**CLAIMS AS FILED - PART I**

(Column 1) (Column 2)

<b>TOTAL CLAIMS</b>		
FOR	NUMBER FILED	NUMBER EXTRA
<b>TOTAL CHARGEABLE CLAIMS</b>	minus 20 =	*
<b>INDEPENDENT CLAIMS</b>	minus 3 =	*
<b>MULTIPLE DEPENDENT CLAIM PRESENT</b>		<input type="checkbox"/>

**SMALL ENTITY  
TYPE**

**OR  
OTHER THAN  
SMALL ENTITY**

RATE	FEES
BASIC FEE	370.00
X\$ 9=	
X42=	
+140=	
<b>TOTAL</b>	

RATE	FEES
BASIC FEE	740.00
X\$18=	
X84=	
+280=	
<b>TOTAL</b>	

\* If the difference in column 1 is less than zero, enter "0" in column 2

**CLAIMS AS AMENDED - PART II**

(Column 1) (Column 2) (Column 3)

<b>AMENDMENT A</b>	<b>CLAIMS REMAINING AFTER AMENDMENT</b>		<b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b>	<b>PRESENT EXTRA</b>		
	Total	*	18	Minus	** 21	=
Independent	*	2	Minus	*** 3	=	
<b>FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM</b>					<input type="checkbox"/>	

**SMALL ENTITY**

**OR  
OTHER THAN  
SMALL ENTITY**

RATE	ADDI- TIONAL FEE
X\$ 9=	
X42=	
+140=	
<b>TOTAL ADDT. FEE</b>	

RATE	ADDI- TIONAL FEE
X\$18=	
X84=	
+280=	
<b>TOTAL ADDT. FEE</b>	

<b>AMENDMENT B</b>	<b>CLAIMS REMAINING AFTER AMENDMENT</b>		<b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b>	<b>PRESENT EXTRA</b>		
	Total	*	Minus	**	=	
Independent	*	Minus	***	=		
<b>FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM</b>					<input type="checkbox"/>	

RATE	ADDI- TIONAL FEE
X\$ 9=	
X42=	
+140=	
<b>TOTAL ADDT. FEE</b>	

RATE	ADDI- TIONAL FEE
X\$18=	
X84=	
+280=	
<b>TOTAL ADDT. FEE</b>	

<b>AMENDMENT C</b>	<b>CLAIMS REMAINING AFTER AMENDMENT</b>		<b>HIGHEST NUMBER PREVIOUSLY PAID FOR</b>	<b>PRESENT EXTRA</b>		
	Total	*	Minus	**	=	
Independent	*	Minus	***	=		
<b>FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM</b>					<input type="checkbox"/>	

RATE	ADDI- TIONAL FEE
X\$ 9=	
X42=	
+140=	
<b>TOTAL ADDT. FEE</b>	

RATE	ADDI- TIONAL FEE
X\$18=	
X84=	
+280=	
<b>TOTAL ADDT. FEE</b>	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

KAN120D1

CLAIMS AS FILED - PART I

(Column 1) (Column 2)

TOTAL CLAIMS	21	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	21 minus 20 =	1
INDEPENDENT CLAIMS	3 minus 3 =	0
MULTIPLE DEPENDENT CLAIM PRESENT		<input type="checkbox"/>

SMALL ENTITY  
TYPE

OR OTHER THAN  
SMALL ENTITY

RATE	FEES
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	

RATE	FEES
BASIC FEE	710.00
X\$18=	18
X80=	
+270=	
TOTAL	728

\* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	Minus	** 21	=
Independent	* 3	Minus	*** 3	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

SMALL ENTITY

OR OTHER THAN  
SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

B

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	Minus	** 21	=
Independent	* 3	Minus	*** 3	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

C

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	Minus	**	=
Independent	*	Minus	***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>				

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

\*\*\*If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.